

# Banking & Capital Markets



With the ever-changing landscape of regulatory reform, increased capital requirements, more stringent risk management and enhanced reporting requirements, banks and broker-dealers are faced with significant challenges and must continually evaluate their operating models.

To help banks and broker-dealers navigate these complex challenges, CrossCountry leverages its deep knowledge of Banking and Capital Market functions to provide assistance across the enterprise to help our clients become centers of operational excellence.

# BANKING & CAPITAL MARKETS

Our deep understanding of Banking and Capital Market functions and proven delivery model across front-to-back business operations, enterprise risks, technology and internal controls helps our clients navigate complex challenges and become best-in-class organizations.

## OUR EXPERTISE

### Product Expertise

CrossCountry has deep expertise in the products that are most meaningful to our clients. Our experts are well-versed in the issues that impact these areas, such as:

Fixed Income

Currencies

Commodities

Equities

Derivatives

Futures

Options

### Domain Expertise

In addition to our product expertise, we offer deep domain expertise to help our clients become best-in-class organizations.

Financial & Regulatory Reporting

Target Operating Model

Program Management

Merger Integration

Operational Accounting & Valuations

Internal Controls

Technology Selection & Implementation

Risk Management & Compliance

Data & Analytics

Process Automation

# OUR SERVICES

## Risk & Compliance

- Internal Audit
- Regulatory Compliance
- Enterprise Risk
- Cybersecurity

## Accounting Advisory

- Financial Reporting
- Accounting Policy Implementation
- Merger & Acquisition Integration

## Business Transformation

- Finance Optimization
- Operations Improvement
- Robotic Process Automation Strategy

## Data & Analytics

- Data Governance
- Data Management
- Data Analytics
- Business Intelligence & Visualization

# CASE STUDY

CrossCountry assisted an American multinational investment bank with developing a standard and repeatable acquisition integration methodology to support ongoing acquisitions.

## How we helped:

- Analyzed complex data flows from front office to finance systems to diagnose data quality and derivatives notional issues
- Analyzed trades to understand incorrect/inconsistent booking models
- Identified root cause of the notional issues and estimated the impact
- Documented current state, defined tactical and strategic solutions
- Assessed impact on regulatory and capital reporting
- Prepared business requirements documentation
- Coordinated with teams in Operations, IT and Regulatory Reporting to implement proposed solutions

## Impact

Advised the client to address internal control weakness impacting the regulatory reporting process to eliminate errors or omissions in (FR-Y9C, FRY-9LP, FR 2314 and FRY-11) reporting and avoid regulatory penalties or fines.

# Contact Us



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CrossCountry Consulting is the trusted partner and expert advisor to leading organizations. We help our clients transform their finance, accounting, human capital management, risk, operations and technology in order to effectively prepare them for complex change, optimized performance and accelerated business growth.